

**10/560306**

**1AP20 Rec'd PCT/PTO 12 DEC 2005**  
**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q91806

Kazunori OZAWA

Appln. No.: Not Yet Assigned

Confirmation No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Filed: December 12, 2005

Examiner: Not Yet Assigned

For: RECEIVER, TRANSMITTER AND TRANSMISSION/RECEPTION SYSTEM FOR  
MEDIA SIGNAL

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. Japanese Patent Publication No. 2002-84339, published March 22, 2002,
2. Japanese Patent Publication No. 10-336626, published December 18, 1998,
3. Japanese Patent Publication No. 7-79252, published March 20, 1995,
4. Japanese Patent Publication No. 2003-18368, published January 17, 2003,
5. Japanese Patent Publication No. 2002-165148, published June 7, 2002,
6. Japanese Patent Publication No. 2001-359153, published December 26, 2001,

INFORMATION DISCLOSURE STATEMENT  
New U.S. Patent Application

7. Japanese Patent Publication No. 2001-136522, published May 18, 2001,
8. Japanese Patent Publication No. 2000-260123, published September 22, 2000,
9. Japanese Patent Publication No. 3-101395, published April 26, 1991,
10. Japanese Patent Publication No. 11-32022, published February 2, 1999,
11. Japanese Patent Publication No. 10-22970, published January 23, 1998,
12. International Patent Publication No. WO 98/38798, published September 3, 1998,
13. International Patent Publication No. WO 99/06991, published February 11, 1999.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant states that English abstracts are attached for above references 1-13.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.


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New U.S. Patent Application

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

  
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| Substitute for Form 1449 A & B/PTO<br><br><b><u>INFORMATION DISCLOSURE</u></b><br><b><u>STATEMENT BY APPLICANT</u></b><br><br><i>(use as many sheets as necessary)</i> |  |      |  | <i>Complete if Known</i>             |  |
|  |  |      |  | Application Number <b>10/560306</b>  |  |
|  |  |      |  | Confirmation Number <b>10/560306</b> |  |
|  |  |      |  | Filing Date December 12, 2005        |  |
|  |  |      |  | First Named Inventor Kazunori OZAWA  |  |
|  |  |      |  | Art Unit Not Yet Assigned            |  |
| Examiner Name Not Yet Assigned   |  |      |  | Attorney Docket Number Q91806        |  |
| Sheet 1  |  | of 1 |  |                                      |  |

| U.S. PATENT DOCUMENTS |                       |                 |                                      |                             |   |
|-----------------------|-----------------------|-----------------|--------------------------------------|-----------------------------|---|
| Examiner Initials*    | Cite No. <sup>1</sup> | Document Number |                                      | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
|                       |                       | Number          | Kind Code <sup>2</sup><br>(if known) |                             |   |
|                       |                       | US              |                                      |                             |   |
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| FOREIGN PATENT DOCUMENTS |                       |                           |                     |                                      |                             |   |                          |
|--------------------------|-----------------------|---------------------------|---------------------|--------------------------------------|-----------------------------|---|--------------------------|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document   |                     |                                      | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Translation <sup>6</sup> |
|                          |                       | Country Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) |                             |   |                          |
|                          |                       | JP                        | 2002-84339          | A                                    | 03-22-2002                  | MATSUSHITA ELECTRIC                             | Abstract                 |
|                          |                       | JP                        | 10-336626           | A                                    | 12-18-1998                  | NEC SOFTWARE                                    | Abstract                 |
|                          |                       | JP                        | 7-79252             | A                                    | 03-20-1995                  | FUJITSU LTD                                     | Abstract                 |
|                          |                       | JP                        | 2003-18368          | A                                    | 01-17-2003                  | EASTMAN KODAK                                   | Abstract                 |
|                          |                       | JP                        | 2002-165148         | A                                    | 06-07-2002                  | SONY CORP                                       | Abstract                 |
|                          |                       | JP                        | 2001-359153         | A                                    | 12-26-2001                  | NEC CORP  | Abstract                 |
|                          |                       | JP                        | 2001-136522         | A                                    | 05-18-2001                  | XSYS INTERACTIVE                                | Abstract                 |
|                          |                       | JP                        | 2000-260123         | A                                    | 09-22-2000                  | SONY CORP                                       | Abstract                 |
|                          |                       | JP                        | 3-101395            | A                                    | 04-26-1991                  | MITSUBISHI ELECTRIC                             | Abstract                 |
|                          |                       | JP                        | 11-32022            | A                                    | 02-02-1999                  | FUJITSU LTD                                     | Abstract                 |
|                          |                       | JP                        | 10-22970            | A                                    | 01-23-1998                  | KENWOOD CORP                                    | Abstract                 |
|                          |                       | WO                        | 98/38798            | A1                                   | 09-03-1998                  | MITSUBISHI ELECTRIC                             | Abstract                 |
|                          |                       | WO                        | 99/06991            | A1                                   | 02-11-1999                  | SONY CORPORATION                                | Abstract                 |

| NON PATENT LITERATURE DOCUMENTS |                       |  |                          |
|---------------------------------|-----------------------|--|--------------------------|
| Examiner Initials*              | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | Translation <sup>6</sup> |
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.